# **Utah Board of Pardons & Parole**

January 2021

Presentation to the Executive Offices and Criminal Justice Appropriations Subcommittee





#### Who We Are:

- ✓ Technical and Clerical Staff (23 FTE)
- ✓ Hearing Officers (9 FTE)\*
- ✓ Board Members (3 FTE)\*
- ✓ Part-Time Pro Tempores (4 PT)\*
- ✓ Administrative (1 FTE)

# 40 Board Staff Members

\* Current vacancies: 2 Board Member positions, 1 Hearing Officer position & 1 Pro tempore position



# What We Do — Responsibilities of Board Members

- ✓ Prison Release Hearings & Decisions
  (for individuals in prison the Board determines prison release decisions, parole supervision conditions and expirations and terminations from prison)
- ✓ Parole Supervision Hearings & Decisions

  (for individuals on parole the Board creates parole conditions, issues warrants and conducts parole violation and evidentiary hearings, imposes sanctions, adjusts supervision conditions and determines terminations of parole sentences)
- ✓ Commutation & Pardon Hearings & Decisions
  (for individuals seeking pardons and commutations the Board conducts hearings and grants pardons and commutations)



# **High Volume of Work - Essential Decision Making**



....or **5,412 additional** hearings and decisions



■ Hearings & Decisions



# Responsibility and Workload

- ✓ On January 14, 2021 there were:
  - 5,505 incarcerated individuals in Utah's prison system
  - √ 4,425 individuals on parole supervision
- ✓ Combined, the Board has jurisdiction over nearly 10,000 individuals



# Critical Role of Board Staff — work that supports over 15,000 hearings and decisions by the Board on a yearly basis

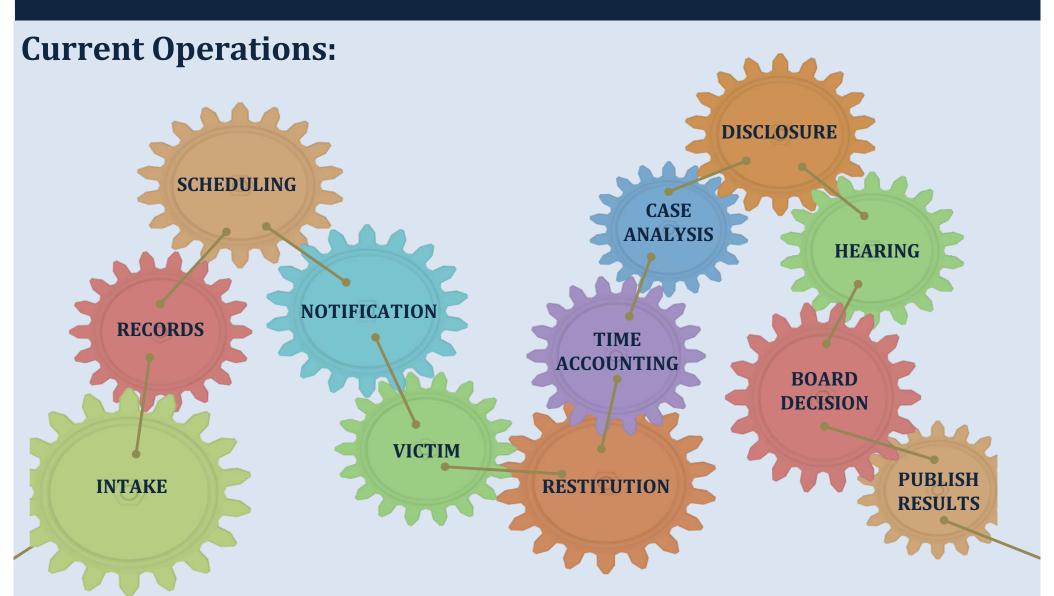
- ✓ Conduct complex calculations and analysis of incarceration credit for time served, toll time, consecutive and concurrent offenses, and sentencing and supervision guidelines
- ✓ Provide expertise, notification, and hearing process guidance to victims for Board hearings



# **Critical Role of Board Staff**

- ✓ Manage complex scheduling to ensure timely hearings and guarantee disclosure of all hearing documents per legal requirements
- Manage incoming judgement and commitments, intake document collection, and initial tentative hearing schedule
- Research and prepare mandatory time cuts, termination, warrant, parole violation, waiver, and restitution information for Board reports and decisions







# **Priorities:**

- ✓ High quality decisions
- ✓ Timely decisions
- ✓ Transparency



# **High Quality Decisions:**

- ✓ Evidence-based practices
- ✓ Structured-decision making
- √ Validated risk and need assessments



# **Timely Decisions:**

- ✓ Smart scheduling
- ✓ Publication of results
- ✓ Notification of hearings and Board orders



# **Transparency:**

- ✓ Preserving essential records
- ✓ Public access to real-time information including scheduled hearings, calendar, and decisions
- ✓ Live video stream access to Board hearings



9,496 Files Uploaded (1.2 million pages scanned)



Where We Were All Paper

Are
Most Records Scanned - Several
Foundational Parts of the
Record System in Place

Where We're
Going
Complete
Electronic Records
System



BOPP Document Management Library

Completed: October 25, 2017

**Sentencing Guidelines** 

Completed: September 11, 2018

Sentencing Guidelines Admin Module

Completed: Spring 2019

**Decision Item & Routing** 

Completed: Summer 2020

**Scheduling & Calendaring** 

Production Release: Future

BOPP Web Conversion Upgrade

Completed: November 14, 2017

**Web Forms** 

Completed: October 9, 2018

**Hearing Waivers** 

Completed: Fall 2019

**Decision Forms & Reports** 

Production Release: Spring 2021

**Supervision Guidelines** 

Production Release: Future

**Document Management Upgrade** 

Completed: April 16, 2018

**Offender Notifications** 

Completed: December 5, 2018

**Uploading File Room** 

Production Release: In Progress

**Decision Voting & Results** 

Production Release: 2022

**Decision Module: Phase 2** 

Production Release: Future



# **Challenges & Next Steps**

- ✓ Information technology resources are needed to continue and maintain the Electronic Records project
- ✓ Sufficient staff resources are critical to address the significantly increased workload



# **Impact of COVID-19**

# Numbers From March 2020 to December 2020

- ✓ 1,795 prison release decisions requested by the Department of Corrections related specifically to COVID-19
- ✓ A total of 1,344 incarcerated individuals were released to assist with COVID-19 management within the prison system
- ✓ Overall, an average of 65 days were cut from incarcerated individual's sentences who received an early release



# **Impact of COVID-19**

# Responding to COVID-19

- ✓ Smart scheduling
- ✓ Increased use of video hearings
- ✓ Live stream hearings
- ✓ Remote victim participation
- ✓ Increased collaboration with the Department of Corrections

# Utah Board of Pardons & Parole Appropriation Requests





#### **Board of Pardons and Parole Budget** *RESTORATION* Requests

**Additional Technical and Clerical Staff - \$281,400 ongoing; \$11,400 one-time** (considered restoration as the Legislature funded this item in the 2020 General Legislative Session - then cut during Special Session)

This request is essential to keep pace with a significantly increased workload associated with a 46% increase in Board hearings and decisions.

# Restoration of COVID-19 Reduction - \$121,000 ongoing

Due to anticipated revenue shortfalls due to the COVID-19 pandemic, the Board's ongoing budget was reduced by \$121,000 ongoing during Special Legislative Session.

The reduction resulted in very limited or no staff training, a slow-down of the electronic records project, decreased availability of pro tempore Board members, limitation on inmate psychological evaluations, among other essential items.



# **Board of Pardons and Parole Budget Requests**

# Ongoing Support for Electronic Records System - \$450,000 ongoing

Without this funding, the Board's electronic records project cannot be completed. This will leave the Board Members and technical/clerical staff working with both a paper-based process and electronic-based process.

The funding not only allows for completion of the project, but also provides necessary and ongoing support of this new system – to include technical fixes and additional components that will assist the Board and its staff with their work.

### **Maintain Defense Counsel Contract - \$10,000 ongoing**

The Board maintains a contract for legal counsel for parolees who violate the conditions of their release and are returned to prison. This contract increased by \$10,000 per year.



# BOARD OF PARDONS AND PAROLE APPROPRIATION REQUEST SUMMARY

Request	One-time	Ongoing
Additional Technical/Clerical Staff	\$11,400	\$281,400
Restoration of COVID-19 Reduction		\$121,000
Electronic Records System	<u> </u>	\$450,000
Defense Counsel Contract	_	\$10,000



# **Challenges & Next Steps**

